

PATENT
Docket No. 469802000120

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on October 26, 2001.

Nora Durant
Nora Durant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Vinod VASUDEVAN, et al.

Serial No.: 09/886,398

Filing Date: June 20, 2001

For: SYSTEM AND METHOD FOR
ADJUSTING BIT RATE AND COST OF
DELIVERY OF DIGITAL DATA

Examiner: Not Yet Assigned

Group Art Unit: 2614

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under
37 C.F.R. § 1.53(f) dated August 14, 2001, attached please find:

- ☒ A combined Declaration and Power of Attorney signed by the inventor and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).
- ☒ A Petition for Extension of Time.
- ☒ Assignment with Recordation Cover Sheet.
- ☒ Fee Transmittal.
- ☒ Other: Request for Corrected Filing Receipt.

01/23/2002 SDIRETA1 00000056 031952 09886398
05 FC 115 110.00 CH

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	25 - 20 =	5	x \$18.00	\$90.00
INDEPENDENT CLAIMS	5 - 3 =	2	x \$84.00	\$168.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$*
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS =	\$998.00
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached.				\$*
SURCHARGE FOR FILING MISSING PARTS				\$130.00
			TOTAL =	\$1,128.00

- ☐ A check in the amount of \$ is attached.
- ☒ Charge \$1,128.00 to **Deposit Account No. 03-1952.**

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952.** A duplicate copy of this transmittal is enclosed for that purpose.

Respectfully submitted,

Dated: October 26 2001

By: Richard C. Kim

Richard C. Kim
Registration No. 40,046

Morrison & Foerster LLP
3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-5142
Facsimile: (858) 720-5125

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,398	06/20/2001	Vinod Vasudevan	469802800000

25225
MORRISON & FOERSTER LLP
3811 VALLEY CENTRE DRIVE
SUITE 500
SAN DIEGO, CA 92130-2332



CONFIRMATION NO. 4234

FORMALITIES LETTER



OC000000006424414

Date Mailed: 08/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/22/2002 SDIRETA1 00000056 031952 09886398

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 CH
02 FC:105	130.00 CH
03 FC:103	90.00 CH
04 FC:102	168.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$250.
 - \$90 for 5 total claims over 20.
 - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1090.**

*A copy of this notice **MUST** be returned with the reply.*

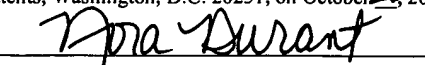
Customer Service Center

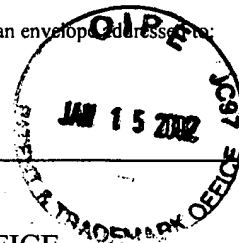
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231, on October 26, 2001.


Nora Durant



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Vinod VASUDEVAN, et al.

Serial No.: 09/886,398

Filing Date: June 20, 2001

For: SYSTEM AND METHOD FOR
ADJUSTING BIT RATE AND COST OF
DELIVERY OF DIGITAL DATA

Examiner: Not Yet Assigned

Group Art Unit: 2614

REQUEST FOR CORRECTED FILING RECEIPT

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

Under ATTY DOCKET NO., please correct "469802800000" to read --469802000120--.

Under Applicant(s), please correct residence information as follows:

--Vinod Vasudevan, Foster City, CA--

--Steven Rand, Belmont, CA--


A copy of the marked-up Filing Receipt is attached.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of

such petitions and/or other fees due in connection with the filing of this document to **Deposit**
Account No. 03-1952.

Respectfully submitted,

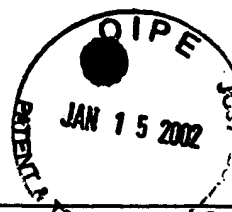
Dated: October 26, 2001

By: 
Richard C. Kim
Registration No. 40,046

Morrison & Foerster LLP
3811 Valley Centre Drive
Suite 500
San Diego, California 92130-2332
Telephone: (858) 720-5142
Facsimile: (858) 720-5125



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/886,398	06/20/2001	2614	0.00	469802800000 469802000120	5	25	5

CONFIRMATION NO. 4234

25225
MORRISON & FOERSTER LLP
3811 VALLEY CENTRE DRIVE
SUITE 500
SAN DIEGO, CA 92130-2332

FILING RECEIPT



OC000000006424413

Date Mailed: 08/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Vinod Vasudevan, ~~Residence Not Provided~~; Foster City, CA
Steven Rand, ~~Residence Not Provided~~; Bellmont, CA

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/761,770 01/18/2001

Foreign Applications

If Required, Foreign Filing License Granted 08/14/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

System and method for adjusting bit rate and cost of delivery of digital data

Preliminary Class

348

FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number 09/886,398

Filing Date June 20, 2001

First Named Inventor Vinod VASUDEVAN, et al.

Examiner Name Not Yet Assigned

Group Art Unit 2614

TOTAL AMOUNT OF PAYMENT

(\$1,278.00

Attorney Docket No. 469802000120

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

03-1952

Deposit
Account
Name

Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

- ☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$740

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
25	-20** = 5	x \$18 =	\$90
Independent Claims	5	-3** = 2	x \$84 = \$168

Multiple Dependent

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$258

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$280

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

Richard C. Kim

Registration No.
(Attorney/Agent)

40,046

Telephone

(858) 720-5142

Signature

Richard C. Kim

Date

October 26, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.